THE MILLER–RABIN TEST

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1. INTRODUCTION

The Miller–Rabin test is the most widely used probabilistic primality test. For odd composite $n > 1$, over 75% of numbers from to 2 to $n - 1$ are witnesses in the Miller–Rabin test for n. We will describe the test, prove the 75% lower bound (an improvement on the 50% lower bound in the Solovay–Strassen test[1]), and in an appendix use the main idea in the test to show factoring n into primes and computing $\varphi(n)$ are similar computational tasks.

2. THE MILLER–RABIN TEST

The Fermat and Solovay–Strassen tests are each based on translating a congruence modulo prime numbers, either Fermat's little theorem or Euler's congruence, over to the setting of composite numbers and hoping to make it fail there. The Miller–Rabin test uses a similar idea, but involves a system of congruences.

For an odd integer $n > 1$, factor out the largest power of 2 from $n - 1$, say $n - 1 = 2^e k$ where $e \geq 1$ and k is odd. This meaning for e and k will be used throughout. The polynomial $x^{n-1} - 1 = x^{2^e k} - 1$ can be factored repeatedly as often as we have powers of 2 in the exponent:

$$
\begin{aligned}
x^{2^e k} - 1 &= (x^{2^{e-1}k})^2 - 1 \\
&= (x^{2^{e-1}k} - 1)(x^{2^{e-1}k} + 1) \\
&= (x^{2^{e-2}k} - 1)(x^{2^{e-2}k} + 1)(x^{2^{e-1}k} + 1) \\
&\;\;\vdots \\
&= (x^k - 1)(x^k + 1)(x^{2k} + 1)(x^{4k} + 1) \cdots (x^{2^{e-1}k} + 1).
\end{aligned}
$$

If n is prime and $1 \leq a \leq n - 1$ then $a^{n-1} - 1 \equiv 0 \bmod n$ by Fermat's little theorem, so by the above factorization we have

$$(a^k - 1)(a^k + 1)(a^{2k} + 1)(a^{4k} + 1) \cdots (a^{2^{e-1}k} + 1) \equiv 0 \bmod n.$$

When n is prime one of these factors must be 0 mod n, so

(2.1) $\qquad a^k \equiv 1 \bmod n$ or $a^{2^i k} \equiv -1 \bmod n$ for some $i \in \{0, \ldots, e - 1\}$.

Example 2.1. If $n = 13$ then $n - 1 = 4 \cdot 3$, so $e = 2$, $k = 3$, and (2.1) says $a^3 \equiv 1 \bmod n$ or $a^3 \equiv -1 \bmod n$ or $a^6 \equiv -1 \bmod n$ for each a from 1 to 12.

Example 2.2. If $n = 41$ then $n - 1 = 8 \cdot 5$, so $e = 3$, $k = 5$, and (2.1) says $a^5 \equiv 1 \bmod n$ or one of a^5, a^{10}, or a^{20} is congruent to $-1 \bmod n$ for each a from 1 to 40.

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[1]See https://kconrad.math.uconn.edu/blurbs/ugradnumthy/solovaystrassen.pdf

The congruences in (2.1) make sense for all odd $n > 1$, prime or not. Their simultaneous failure for some a in $\{1, \ldots, n-1\}$ will lead to a primality test.

Definition 2.3. For odd $n > 1$, write $n - 1 = 2^e k$ with k odd and pick $a \in \{1, \ldots, n-1\}$. We say a is a *Miller–Rabin witness* for n if all of the congruences in (2.1) are false:

$$a^k \not\equiv 1 \bmod n \text{ and } a^{2^i k} \not\equiv -1 \bmod n \text{ for all } i \in \{0, \ldots, e-1\}.$$

We say a is a *Miller–Rabin nonwitness* for n if one of the congruences in (2.1) is true:

$$a^k \equiv 1 \bmod n \text{ or } a^{2^i k} \equiv -1 \bmod n \text{ for some } i \in \{0, \ldots, e-1\}.[2]$$

As in the Fermat and Solovay–Strassen tests, we are using the term "witness" to mean a number that proves n is composite. An odd prime has no Miller–Rabin witnesses, so when n has a Miller–Rabin witness it must be composite.

In the definition of a Miller–Rabin witness, the case $i = 0$ says $a^k \not\equiv -1 \bmod n$, so another way of describing a witness is $a^k \not\equiv \pm 1 \bmod n$ and $a^{2^i k} \not\equiv -1 \bmod n$ for all $i \in \{1, \ldots, e-1\}$, where this range of values for i is empty if $e = 1$ (that is, if $n \equiv 3 \bmod 4$).

Example 2.4. If $n \equiv 3 \bmod 4$ then $e = 1$ (and conversely). In this case $k = (n-1)/2$, so a is a Miller–Rabin witness for n if $a^{(n-1)/2} \not\equiv \pm 1 \bmod n$, while a is a Miller–Rabin nonwitness for n if $a^{(n-1)/2} \equiv \pm 1 \bmod n$.

Miller–Rabin witnesses and nonwitnesses can also be described using the list of powers

$$(2.2) \qquad (a^k, a^{2k}, a^{4k}, \ldots, a^{2^{e-1}k}) = (\{a^{2^i k}\})_{i=0}^{e-1}$$

with *all terms considered modulo n*. We call this the *Miller–Rabin sequence* for n that is generated by a. For example, to write a Miller–Rabin sequence for $n = 57$ write $57 - 1 = 2^3 \cdot 7$. Since $e = 3$ and $k = 7$, the Miller–Rabin sequence for 57 that is generated by a is (a^7, a^{14}, a^{28}). Each term in a Miller–Rabin sequence is the square of the previous term, so if 1 occurs in the sequence then all later terms are 1. If -1 occurs in the sequence then all later terms are also 1. Thus -1 can occur *at most once* in this sequence. If $1 \leq a \leq n - 1$ then a is a Miller–Rabin nonwitness for n if and only if (2.2) looks like

$$(1, \ldots) \bmod n \quad \text{or} \quad (\ldots, -1, \ldots) \bmod n$$

and a is a Miller–Rabin witness for n if and only if (2.2) is anything else: the first term is not 1 (equivalently, the terms in the Miller–Rabin sequence are not all 1) and there is no -1 anywhere in (2.2). So 1 and $n - 1$ are always Miller-Rabin nonwitnesses for n.

Example 2.5. Let $n = 9$. Since $n - 1 = 8 = 2^3$, $e = 3$ and $k = 1$. The Miller–Rabin sequence for 9 generated by a is $(a, a^2, a^4) \bmod 9$. In the table below we list this sequence for $a = 1, 2, \ldots, 8$. The Miller–Rabin witnesses for 9 are 2, 3, 4, 5, 6, and 7.

$a \bmod 9$	1	2	3	4	5	6	7	8
$a^2 \bmod 9$	1	4	0	7	7	0	4	1
$a^4 \bmod 9$	1	7	0	4	4	0	7	1

Example 2.6. Let $n = 29341$. Since $n - 1 = 2^2 \cdot 7335$, the Miller–Rabin sequence for n generated by a is $(a^k, a^{2k}) \bmod n$ where $k = 7335$. When $a = 2$, the Miller–Rabin sequence

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[2]Alternate terminology: a Miller–Rabin witness for n is called a *strong witness for n*, and when a is a Miller–Rabin nonwitness for n, n is called a *strong pseudoprime to base a*. We won't use these terms here.

is $(26424, 29340)$. The last term is $-1 \bmod n$, so -1 appears and therefore 2 is not a Miller–Rabin witness for n. When $a = 3$ the Miller–Rabin sequence is $(22569, 1)$. The first term is not 1 and no term is -1, so 3 is a Miller–Rabin witness for n and thus n is composite.

Example 2.7. Let $n = 30121$. Since $n - 1 = 2^3 \cdot 3765$, the Miller–Rabin sequence for n generated by a is $(a^k, a^{2k}, a^{4k}) \bmod n$ where $k = 3765$. When $a = 2$, this sequence is $(330, 18537, 1)$. The first term is not 1 and no term is $-1 \bmod n$, so 2 is a Miller–Rabin witness for n. Thus n is composite.

Example 2.8. Let $n = 75361$. Since $n - 1 = 2^5 \cdot 2355$, the Miller–Rabin sequence for n generated by a is $(a^k, a^{2k}, a^{4k}, a^{8k}, a^{16k}) \bmod n$ where $k = 2355$. When $a = 2$, this sequence is $(15036, 73657, 39898, 1, 1)$. The first term is not 1 and there is no $-1 \bmod n$, so 2 is a Miller–Rabin witness for n. Thus n is composite.

For odd $n > 1$, the a in $\{1, \dots, n - 1\}$ that reveal n to be composite by the Solovay-Strassen test are called Euler witnesses. It means either (i) $(a, n) > 1$ or (ii) $(a, n) = 1$ and $a^{(n-1)/2} \not\equiv \left(\frac{a}{n}\right) \bmod n$, where $\left(\frac{a}{n}\right)$ is a Jacobi symbol. The first odd composite n where 2 and 3 are not Euler witnesses for n is 1729: $a^{(1729-1)/2} \equiv \left(\frac{a}{1729}\right) \equiv 1 \bmod 1729$ when a is 2 or 3. The first odd composite n where 2 and 3 are not Miller–Rabin witnesses is much bigger: $n = 1373653$. Here $n - 1 = 2^2 \cdot 343413$, so a Miller–Rabin sequence for n is $(a^k, a^{2k}) \bmod n$ where $k = 343413$. The Miller–Rabin sequence generated by 2 is $(890592, 1373652)$, with the last term being $-1 \bmod n$, and the Miller–Rabin sequence generated by 3 is $(1, 1)$. The number 5 *is* a Miller–Rabin witness for n: it generates the Miller–Rabin sequence $(1199564, 73782)$. Every odd composite n in $\mathbf{Z}^+$ less than 10^{10} has 2, 3, 5, or 7 as a Miller–Rabin witness except for 3215031751, and 11 is a Miller–Rabin witness for that number.

There is a more intuitive way to think about Miller–Rabin witnesses. For odd prime n, rewrite the congruence $a^{n-1} \equiv 1 \bmod n$ from Fermat's little theorem as $(a^k)^{2^e} \equiv 1 \bmod n$, so if $a^k \not\equiv 1 \bmod n$, then the order of $a^k \bmod n$ is 2^j for some $j \in \{1, \dots, e\}$. Thus $x := a^{2^{j-1}k} \bmod n$ has $x^2 \equiv 1 \bmod n$ and $x \not\equiv 1 \bmod n$. The only square roots of 1 modulo an odd prime n are $\pm 1 \bmod n$, so if $a^k \not\equiv 1 \bmod n$ and none of the numbers $a^k, a^{2k}, a^{4k}, \dots, a^{2^{e-1}k}$ is $-1 \bmod n$ then we have a contradiction: n isn't prime. We have rediscovered the definition of a Miller–Rabin nonwitness, and it shows us that *the idea behind Miller–Rabin witnesses is to find an unexpected square root of* 1 $\bmod n$. That is not always what happens, since the congruence $a^{n-1} \equiv 1 \bmod n$ for prime n might not occur for composite n if $(a, n) = 1$: for sure $a^{\varphi(n)} \equiv 1 \bmod n$, but $\varphi(n) \neq n - 1$ when n is composite. In Example 2.5, the only square roots of 1 mod 9 are $\pm 1 \bmod 9$ but there are still many Miller–Rabin witnesses for 9. That's because a Miller–Rabin *nonwitness* for 9 satisfies $a^8 \equiv 1 \bmod 9$, so $(a, 9) = 1$, which implies $a^6 \equiv 1 \bmod 9$ since $\varphi(9) = 6$. If $a^8 \equiv 1 \bmod 9$ and $a^6 \equiv 1 \bmod 9$, then $a^2 \equiv 1 \bmod 9$, so $a \equiv \pm 1 \bmod 9$. Thus all $a \not\equiv \pm 1 \bmod 9$ are Miller–Rabin witnesses for 9.

Euler witnesses are always Miller–Rabin witnesses (Theorem 6.1), and sometimes they are the same set of numbers (Corollary 6.2), but when there are more Miller–Rabin witnesses than Euler witnesses there can be a lot more. This is not very impressive for $n = 30121$, whose proportion of Euler witnesses is an already high 96.4% and its proportion of Miller–Rabin witnesses is 99.1%. But for $n = 75361$, the proportion of Euler witnesses is 61.7% while the proportion of Miller–Rabin witnesses is a much higher 99.4%.

The next theorem gives a lower bound on the proportion of Miller–Rabin witnesses for odd composite numbers. Since 1 and $n - 1$ are never Miller–Rabin witnesses, we search for Miller–Rabin witnesses in $\{2, \dots, n - 2\}$.

Theorem 2.9. *Let $n > 1$ be odd and composite.*

The proportion of integers from 2 to $n-2$ that are Miller–Rabin witnesses for n is greater than 75%. Equivalently, the proportion of integers from 2 to $n - 2$ that are Miller–Rabin nonwitnesses for n is less than 25%.

Theorem 2.9, due independently to Monier [10] and Rabin [11], will be proved in Section 5. (A second proof is in Section B.) The proof is complicated, so first in Section 4 we will prove in a simpler way that the proportion of Miller–Rabin witnesses is greater than 50%, and the ideas in that proof will be useful when we later show the bound is at least 75%. This 75% is probably sharp as an asymptotic lower bound: Monier [10, p. 102] showed that if p and $2p-1$ are prime and $p \equiv 3 \bmod 4$ then the proportion of Miller–Rabin witnesses for $p(2p-1)$ tends to 75% if we can let $p \to \infty$, and it's expected that we can: it is conjectured that p and $2p - 1$ are both prime for infinitely many primes $p \equiv 3 \bmod 4$.

Example 2.10. For the prime $p = 79$, $2p - 1 = 157$ is also prime and the proportion of Miller–Rabin witnesses for $n = p(2p - 1) = 12403$ in $\{2, \ldots, n - 2\}$ is $9360/12401 \approx 75.4\%$.

Here is the **Miller–Rabin test** for deciding if an odd $n > 1$ is prime. In the last step we appeal to the bound in Theorem 2.9.

(1) Pick an integer $t \geq 1$ to be the number of trials for the test.
(2) Randomly pick an integer a from 2 to $n - 2$.
(3) If a is a Miller–Rabin witness for n then stop the test and declare (correctly) "n is composite."
(4) If a is not a Miller–Rabin witness for n then go to step 2 and pick another random a from 2 to $n - 2$.
(5) If the test runs for t trials without terminating then say "n is prime with probability at least $1 - 1/4^t$."

(A better probabilistic heuristic in the last step, using Bayes' rule, should use the lower bound $1 - (\log n)/4^t$ and we need to pick t at the start so that $4^t > \log n$: see Theorem A.2 in https://kconrad.math.uconn.edu/blurbs/ugradnumthy/solovaystrassen.pdf.)

The Generalized Riemann Hypothesis (GRH), which is one of the most important unsolved problems in mathematics, implies the Miller–Rabin test can be converted from a probabilistic primality test into a deterministic primality test that runs in polynomial time: Bach [3] showed from GRH that some Miller–Rabin witness for n is at most $2(\log n)^2$ if n has a Miller–Rabin witness at all.

Historically things were reversed: Miller introduced "Miller's test" in a deterministic form assuming GRH,[3] and a few years later Rabin proved Theorem 2.9 to make the method of Miller's test no longer dependent on an unproved hypothesis if it is treated as a probabilistic test. This became the Miller–Rabin test. We will discuss its history further in Section 7.

3. Multiplication of Miller–Rabin nonwitnesses

Here are descriptions of nonwitnesses for the Fermat test, Solovay–Strassen test, and Miller–Rabin test. For *odd $n > 1$* and $1 \leq a \leq n - 1$,

(i) a is a Fermat nonwitness for n when

$$a^{n-1} \equiv 1 \bmod n,$$

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[3]Miller did not rely on Bach's work involving GRH, which had not yet appeared. He relied instead on similar but less precise consequences of GRH due to Ankeny.

(ii) a is an Euler nonwitness for n when

$$(a, n) = 1 \text{ and } a^{(n-1)/2} \equiv \left(\frac{a}{n}\right) \bmod n,$$

and

(iii) a is a Miller–Rabin nonwitness for n when

$$a^k \equiv 1 \bmod n \text{ or } a^{2^i k} \equiv -1 \bmod n \text{ for some } i \in \{0, \ldots, e - 1\}.$$

In all three cases, 1 and $n-1$ are nonwitnesses (note n is odd). Another common feature is that all three types of nonwitnesses are relatively prime to n. It is easy to see that the Fermat nonwitnesses and Euler nonwitnesses for n each form a *group* under multiplication mod n. If n is composite then the Euler nonwitnesses for n are a proper subgroup of the invertible numbers mod n, and this is also true for the Fermat nonwitnesses for n if n is not a Carmichael number. That is why the proportions of Fermat nonwitnesses (for non-Carmichael n) and Euler nonwitnesses are each less than 50% when n is composite, which makes the proportions of Fermat witnesses and Euler witnesses each greater than 50%.

The set of Miller–Rabin nonwitnesses is often *not* a group under multiplication mod n: the product of two Miller–Rabin nonwitnesses for n could be a witness. (Since 1 is a Miller–Rabin nonwitness for each n and the multiplicative inverse mod n of a Miller–Rabin nonwitness for n is a Miller–Rabin nonwitness for n, the only reason the nonwitnesses might not be a group has to be failure of closure under multiplication.)

Example 3.1. The Miller–Rabin nonwitnesses for 65 are 1, 8, 18, 47, 57, and 64. Modulo 65 we have $8 \cdot 18 = 14$ but 14 is a Miller–Rabin witness for 65. The Miller–Rabin sequences for 65 generated by 8 and 18 are $(8, 64, 1, 1, 1, 1)$ and $(18, 64, 1, 1, 1, 1)$, which each include $-1 \bmod 65$ in the second position, while the sequence generated by 14 is $(14, 1, 1, 1, 1, 1)$, which does not start with 1 or include -1 anywhere.

Example 3.2. The Miller–Rabin nonwitnesses for 85 are 1, 13, 38, 47, 72, 84, but modulo 85 we have $13 \cdot 38 = 69$ and 69 is a Miller–Rabin witness for 85.

We can understand why the Miller–Rabin nonwitnesses for n might not be a group under multiplication mod n by thinking about how the different conditions for being a nonwitness interact under multiplication. First of all, if $n \equiv 3 \bmod 4$ then the Miller–Rabin witnesses for n are the solutions to $a^k \equiv \pm 1 \bmod n$ (Example 2.4), which form a group. If $n \equiv 1 \bmod 4$ (so $e \geq 2$) and a and b are Miller–Rabin nonwitnesses for n then this could happen in three ways (up to the ordering of a and b):

(i) $a^k \equiv \pm 1 \bmod n$ and $b^k \equiv \pm 1 \bmod n$,

(ii) $a^{2^i k} \equiv -1 \bmod n$ for some i from 1 to $e - 1$ and $b^k \equiv \pm 1 \bmod n$,

(iii) $a^{2^i k} \equiv -1 \bmod n$ and $b^{2^{i'} k} \equiv -1 \bmod n$ for some i and i' from 1 to $e - 1$.

In (i), $(ab)^k \equiv \pm 1 \bmod n$, so $ab \bmod n$ is a Miller–Rabin nonwitness for n.

In (ii), $b^{2^i k} \equiv 1 \bmod n$ since $i > 0$, so $(ab)^{2^i k} \equiv -1 \bmod n$ and again $ab \bmod n$ is a Miller–Rabin nonwitness for n.

In (iii), $ab \bmod n$ is a nonwitness if $i \neq i'$ for a reason similar to (ii), but there is a potential problem when $i = i'$ since $(ab)^{2^i k} \equiv (-1)(-1) \equiv 1 \bmod n$ with $i > 0$ and for ab to be a nonwitness for n we have to rely on information about terms in the Miller–Rabin sequence generated by ab before the i-th term. We see this happening in Example 3.1: the Miller–Rabin sequences for 65 generated by 8 and 18 each contain -1 in the second term, which cancel under multiplication, but their first terms don't have product $\pm 1 \bmod 65$.

From this case-by-case analysis, we see that the product of two Miller–Rabin nonwitnesses a and b might not be a nonwitness only if $n \equiv 1 \bmod 4$ and $a^{2^i k} \equiv b^{2^i k} \equiv -1 \bmod n$ for a common choice of i, or in other words when $-1 \bmod n$ occurs in the same position past the first position in the Miller–Rabin sequences generated by a and b.

The following two theorems give different conditions on odd $n > 1$ that guarantee the Miller–Rabin nonwitnesses are a group under multiplication mod n. We'll write $\square \bmod n$ for a perfect square modulo n.

Theorem 3.3. *If $-1 \not\equiv \square \bmod n$ then the Miller–Rabin nonwitnesses for n are the solutions to $a^k \equiv \pm 1 \bmod n$, which form a group under multiplication mod n.*

Proof. If $-1 \not\equiv \square \bmod n$ then the congruence $a^{2^i k} \equiv -1 \bmod n$ has no solution for $i > 0$, so the Miller–Rabin nonwitnesses for n are the $a \in \{1, \ldots, n-1\}$ that satisfy $a^k \equiv \pm 1 \bmod n$. This congruence condition on a clearly defines a group under multiplication mod n. $\square$

If $n \equiv 3 \bmod 4$, then it fits Theorem 3.3: prime factors of n aren't all 1 mod 4 (otherwise $n \equiv 1 \bmod 4$), so n has a prime factor p that is 3 mod 4. If $-1 \equiv \square \bmod n$, then $-1 \equiv \square \bmod p$, which is impossible. Thus $-1 \not\equiv \square \bmod n$. The Miller–Rabin nonwitnesses for n when $n \equiv 3 \bmod 4$ are $\{1 \leq a \leq n-1 : a^{(n-1)/2} \equiv \pm 1 \bmod n\}$.

Theorem 3.4. *If $n = p^\alpha$ for prime p and $\alpha \geq 1$, then the Miller–Rabin nonwitnesses for n are the solutions to $a^{p-1} \equiv 1 \bmod p^\alpha$, which form a group under multiplication mod n.*

We allow $\alpha = 1$, corresponding to $n = p$ being prime, since the theorem is valid in that case: all a in $\{1, \ldots, p-1\}$ are Miller-Rabin nonwitnesses for p and $a^{p-1} \equiv 1 \bmod p$ by Fermat's little theorem.

Proof. Let $n - 1 = 2^e k$ and $a \in \{1, \ldots, n-1\}$ be a Miller–Rabin nonwitness for n: $a^k \equiv 1 \bmod n$ or $a^{2^i k} \equiv -1 \bmod n$ for some $i \leq e-1$, and both cases imply $a^{2^e k} \equiv 1 \bmod n$, or equivalently $a^{n-1} \equiv 1 \bmod n$. Thus $(a, n) = 1$, so $a^{\varphi(n)} \equiv 1 \bmod n$ by Euler's theorem. From $a^{n-1} \equiv 1 \bmod n$ and $a^{\varphi(n)} \equiv 1 \bmod n$, we get $a^{(n-1, \varphi(n))} \equiv 1 \bmod n$. Since $n - 1 = p^\alpha - 1$, $\varphi(n) = p^{\alpha-1}(p-1)$, $p^{\alpha-1}$ is relatively prime to $p^\alpha - 1$, and $(p-1) \mid (p^\alpha - 1)$, we have $(n-1, \varphi(n)) = p - 1$. Thus $a^{p-1} \equiv 1 \bmod p^\alpha$.

Conversely, suppose $a^{p-1} \equiv 1 \bmod p^\alpha$. We will show $a^k \equiv 1 \bmod p^\alpha$ or $a^{2^i k} \equiv -1 \bmod p^\alpha$ for some $i \leq e-1$. Write $p - 1 = 2^f \ell$, where $f \geq 1$ and ℓ is odd. Since $p - 1$ is a factor of $p^\alpha - 1 = 2^e k$, we have $f \leq e$ and $\ell \mid k$. Since $(a^\ell)^{2^f} \equiv 1 \bmod p^\alpha$, the order of $a^\ell \bmod p^\alpha$ is 2^j for some $j \in \{0, \ldots, f\}$.

If $j = 0$, so $a^\ell \equiv 1 \bmod p^\alpha$, then $a^k \equiv 1 \bmod p^\alpha$ as $\ell \mid k$.

If instead $j \geq 1$, then $x := (a^\ell)^{2^{j-1}}$ satisfies $x \not\equiv 1 \bmod p^\alpha$ but $x^2 \equiv 1 \bmod p^\alpha$. Thus $p^\alpha \mid (x+1)(x-1)$ and $x+1$ and $x-1$ have difference 2, so at most one of them can be divisible by p and that number therefore has to absorb the entire factor p^α. In other words, $p^\alpha \mid (x+1)$ or $p^\alpha \mid (x-1)$, so $x \equiv \pm 1 \bmod p^\alpha$.[4] Since $x \not\equiv 1 \bmod p^\alpha$, we get $x \equiv -1 \bmod p^\alpha$. Recalling what x is, $a^{2^{j-1}\ell} \equiv -1 \bmod p^\alpha$. Since $\ell \mid k$ and k is odd, raising both sides to the k/ℓ power gives us $a^{2^i k} \equiv -1 \bmod p^\alpha$ where $i = j - 1 \in \{0, \ldots, f-1\} \subset \{0, \ldots, e-1\}$. $\square$

Example 3.5. A Miller–Rabin nonwitness for 25 satisfies $a^{24} \equiv 1 \bmod 25$, which implies $(a, 25) = 1$. Since $\varphi(25) = 20$, $a^{20} \equiv 1 \bmod 25$ by Euler's theorem. The congruences

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[4]What we just proved, that the only solutions to $x^2 = 1$ modulo an odd prime power are ± 1, will be used again in our proof of Theorem 2.9. It is *false* for powers of 2 starting with 8: modulo 2^α for each $\alpha \geq 3$ there are 4 square roots of unity.

$a^{24} \equiv 1 \bmod 25$ and $a^{20} \equiv 1 \bmod 25$ imply $a^4 \equiv 1 \bmod 25$, which has only 4 solutions: 1, 7, 18, and 24. All other numbers from 1 to 24 are Miller–Rabin witnesses for 25.

The sufficient conditions in Theorems 3.3 and 3.4 turn out to be necessary too: for odd $n > 1$ such that $-1 \equiv \square \bmod n$ and n has at least two different prime factors, the Miller–Rabin nonwitnesses for n do not form a group under multiplication. We omit a proof.

Although the Miller–Rabin nonwitnesses for an odd composite $n > 1$ are not always a group under multiplication mod n, they are always *contained* in a proper subgroup of the invertible numbers mod n, as we will see in Sections 4 and 5. This allows work on the Generalized Riemann Hypothesis (GRH) as described at the end of Section 3 to be applied: if GRH is true then each odd composite $n > 1$ has a Miller–Rabin witness $\leq 2(\log n)^2$, so the truth of GRH would imply the Miller–Rabin test is deterministic in polynomial time.

4. Proving the proportion of Miller–Rabin witnesses is over 50%

The proof of the 75% lower bound for the proportion of Miller–Rabin witnesses for an odd composite $n > 1$ (Theorem 2.9) is not easy. It is much easier to prove the proportion is over 50%,[5] so we present this argument here first.

Theorem 4.1. *If $n > 1$ is odd and composite then the proportion of Miller–Rabin witnesses for n in $\{2, \ldots, n-2\}$ is over 50%. That is, over 50% of $a \in \{2, \ldots, n-2\}$ satisfy $a^k \not\equiv 1 \bmod n$ and $a^{2^i k} \not\equiv -1 \bmod n$ for all $i \in \{0, \ldots, e-1\}$, where $n - 1 = 2^e k$.*

Proof. We will show the proportion of Miller–Rabin *nonwitnesses* for n in $\{1, \ldots, n-1\}$ is less than 50% by showing they are contained in a proper subgroup of the invertible numbers mod n. Since a proper subgroup of a group is at most half the size of the group, the set of Miller–Rabin witnesses for n in $\{1, \ldots, n-1\}$ includes at least half the invertible numbers mod n (it never includes 1 and $n-1$) and it includes all the noninvertible numbers mod n in $\{1, \ldots, n-1\}$ (there are noninvertible numbers mod n, as n is composite). Thus the proportion of Miller–Rabin witnesses for n in $\{1, \ldots, n-1\}$ is over 50%. What about the proportion of Miller–Rabin witnesses for n in $\{2, \ldots, n-2\}$, where we remove 1 and $n-1$ from the count since they can't be Miller–Rabin witnesses for n? Letting W be the number of Miller–Rabin witnesses for n in $\{1, \ldots, n-1\}$, we have at first $W/(n-1) > 1/2$ and that implies $W/(n-3) > W/(n-1) > 1/2$ too, which is the desired conclusion.

To explain why the Miller–Rabin nonwitnesses for n are in a proper subgroup of the invertible numbers mod n, we take cases if n is a prime power or not a prime power.

<u>Case 1</u>: n is a prime power. Write $n = p^\alpha$ for an odd prime p, so $\alpha \geq 2$ since n is composite. By Theorem 3.4, the Miller–Rabin nonwitnesses for n are the a in $\{1, \ldots, n-1\}$ such that $a^{p-1} \equiv 1 \bmod n$, and they form a group under multiplication mod n. The order of each such $a \bmod n$ divides $p - 1$, and some invertible numbers mod n have order p, with $1 + p^{\alpha-1}$ being one of them. Thus the Miller–Rabin nonwitnesses for n form a proper subgroup of the invertible numbers mod n, and we explained at the start of the proof why this is sufficient.[6]

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[5]We will see in Section 6 that every Euler witness is a Miller–Rabin witness, so the 50% lower bound for the proportion of Miller–Rabin witnesses also follows from the 50% lower bound for the proportion of Euler witnesses, but a proof that way is harder. Every Fermat witness is also a Miller–Rabin witness since a Miller–Rabin nonwitness a satisfies $a^{n-1} \equiv 1 \bmod n$ and thus is a Fermat nonwitness.

[6]When $n = p^\alpha$, the number of $a \bmod n$ such that $a^{p-1} \equiv 1 \bmod n$ turns out to be $p - 1$, so the number of invertible $a \bmod n$ such that $a^{p-1} \not\equiv 1 \bmod n$ is $\varphi(n) - (p-1) = (p^{\alpha-1} - 1)(p-1) > p^{\alpha-1} - 1 > 1$.

Case 2: n is not a prime power. Let $i_0 \in \{0, \ldots, e-1\}$ be maximal such that some $a_0 \in \mathbf{Z}$ satisfies $a_0^{2^{i_0}} \equiv -1 \bmod n$. (Since $(-1)^{2^0} = -1$ there is an i_0, and a_0 is automatically relatively prime to n.) The set

$$G_n = \{1 \le a \le n-1 : a^{2^{i_0}k} \equiv \pm 1 \bmod n\}$$

is a multiplicative group mod n. We'll show G_n contains all a in $\{1, \ldots, n-1\}$ such that

(1) $a^k \equiv 1 \bmod n$ or
(2) $a^{2^i k} \equiv -1 \bmod n$ for some $i \in \{0, \ldots, e-1\}$.

If (1) holds for a, so $a^k \equiv 1 \bmod n$, then $a^{2^{i_0}k} \equiv 1 \bmod n$. If (2) holds for a then $(a^k)^{2^i} \equiv -1 \bmod n$ so $i \le i_0$ by the maximality of i_0 (use $a_0 = a^k$). Thus if $i = i_0$ we have $a^{2^{i_0}k} \equiv -1 \bmod n$, and if $i < i_0$ then by squaring both sides of $(a^k)^{2^i} \equiv -1 \bmod n$ enough times we get $a^{2^{i_0}k} \equiv 1 \bmod n$. In either case, $a \in G_n$.

We will show G_n is a proper subgroup of the invertible numbers mod n. Let p be a prime factor of n and write $n = p^{\alpha} n'$ where $\alpha \ge 1$ and n' is not divisible by p. Both p^{α} and n' are odd and not 1 (because n is not a prime power), so each is at least 3.

By the Chinese remainder theorem, some $a \in \{1, \ldots, n-1\}$ satisfies the two congruences

$$a \equiv a_0 \bmod p^{\alpha}, \quad a \equiv 1 \bmod n'.$$

Since $(a_0, n) = 1$ we get $(a, n) = 1$. Considering $a^{2^{i_0}k}$ modulo p^{α} and then modulo n',

$$a^{2^{i_0}k} \equiv a_0^{2^{i_0}k} \equiv (-1)^k \equiv -1 \bmod p^{\alpha} \implies a^{2^{i_0}k} \not\equiv 1 \bmod n$$

since $-1 \not\equiv 1 \bmod p^{\alpha}$, and

$$a^{2^{i_0}k} \equiv 1 \bmod n' \implies a^{2^{i_0}k} \not\equiv -1 \bmod n$$

since $-1 \not\equiv 1 \bmod n'$. Thus $a^{2^{i_0}k} \not\equiv \pm 1 \bmod n$, so $(a, n) = 1$ and $a \notin G_n$. $\square$

An alternate proof of Theorem 4.1, taking cases if n is or is not a Carmichael number rather than if n is or is not a prime power, is in [5, Section 5.3]. Our proof of Theorem 4.1 is a modification of the argument given there.

The proof of Case 1 in Theorem 4.1 used Theorem 3.4, which relies on the interplay between the congruences $a^{n-1} \equiv 1 \bmod n$ and $a^{\varphi(n)} \equiv 1 \bmod n$ when n is a prime power. The proof of Case 2, on the other hand, did not involve Euler's theorem for modulus n and in fact did not really need e and k to come from a factorization of $n-1$ at all: the reasoning from Case 2 proves the following result.

Corollary 4.2. *Let $e, k \ge 1$ with k odd. If $n > 1$ is odd and not a prime power, more than 50% of $a \in \{2, \ldots, n-2\}$ satisfy $a^k \not\equiv 1 \bmod n$ and $a^{2^i k} \not\equiv -1 \bmod n$ for all $i \in \{0, \ldots, e-1\}$.*

Proof. In the proof of Case 2 of Theorem 4.1, we don't need $2^e k$ to be $n-1$, so that proof holds when $e, k \ge 1$ and k is odd. Details are left for the reader to check. $\square$

In the appendix (Section A) we will use Corollary 4.2 to develop a probabilistic factorization algorithm.

Corollary 4.2 is invalid when n is an odd prime power: if $n = p^{\alpha}$ for an odd prime p and we choose e and k by $2^e k = \varphi(n)$ then the only $a \in \{2, \ldots, n-2\}$ satisfying $a^k \not\equiv 1 \bmod n$ and $a^{2^i k} \not\equiv -1 \bmod n$ for all $i \in \{0, \ldots, e-1\}$ are the a not relatively prime to n (this

is because modulo p^α the only element of order 2 is -1), and the proportion of such a in $\{2, \ldots, n-2\}$ is

$$\frac{n - 3 - \varphi(n)}{n - 3} = 1 - \frac{\varphi(n)}{n - 3} < 1 - \frac{\varphi(n)}{n} = 1 - \frac{p^\alpha(1 - 1/p)}{p^\alpha} = 1 - \left(1 - \frac{1}{p}\right) = \frac{1}{p},$$

which is less than 50%. This does not contradict Theorem 4.1, which allows n to be a prime power, since the e and k used there are chosen from a factorization of $n - 1$, not $\varphi(n)$.

5. Proving the proportion of Miller–Rabin witnesses is at least 75%

In this section we will prove Theorem 2.9 based on the proof in [7, pp. 382–387]. Instead of showing the proportion of Miller–Rabin witnesses for an odd composite $n > 1$ in the range $\{2, \ldots, n-2\}$ is over 75%, we'll prove the proportion of Miller–Rabin nonwitnesses in that range is less than 25%. This result is subtle since unlike Fermat nonwitnesses and Solovay–Strassen nonwitnesses, the Miller–Rabin nonwitnesses are not generally closed under multiplication, as already seen in Section 3.

Like the focus on nonwitnesses in Theorem 4.1, we will show the proportion of Miller–Rabin nonwitnesses for n in $\{1, \ldots, n-1\}$ has an upper bound of 25%. Then if W is the number of Miller–Rabin witnesses for n in $\{1, \ldots, n-1\}$ we have $W/(n-1) \geq 3/4$, and counting in $\{2, \ldots, n-2\}$ gives us $W/(n-3) > W/(n-1) \geq 3/4$, as desired.[7]

First we will deal with the case that $n = p^\alpha$ is a power of an odd prime and $\alpha \geq 2$. By Theorem 3.4, the Miller–Rabin nonwitnesses for p^α are the solutions to $a^{p-1} \equiv 1 \bmod p^\alpha$. Such a are closed under multiplication mod p^α, which is great (and not true of Miller–Rabin nonwitnesses for general n). How many such a are there from 1 to $p^\alpha - 1$?

In the table below are solutions to $a^{p-1} \equiv 1 \bmod p^\alpha$ when $p = 5$ and 7 with α small. We include $\alpha = 1$.

α	Solutions to $a^4 \equiv 1 \bmod 5^\alpha$	Solutions to $a^6 \equiv 1 \bmod 7^\alpha$
1	1, 2, 3, 4	1, 2, 3, 4, 5, 6
2	1, 7, 18, 24	1, 18, 19, 30, 31, 48
3	1, 57, 68, 124	1, 18, 19, 324, 325, 342
4	1, 182, 443, 624	1, 1047, 1048, 1353, 1354, 2400

This suggests $a^{p-1} \equiv 1 \bmod p^\alpha$ has $p - 1$ solutions mod p^α for each α. This is true when $\alpha = 1$ by Fermat's little theorem. For larger α we use induction: if $a^{p-1} \equiv 1 \bmod p^\alpha$ there is a unique $a' \bmod p^{\alpha+1}$ such that $a'^{p-1} \equiv 1 \bmod p^{\alpha+1}$ and $a' \equiv a \bmod p^\alpha$: saying $a' \equiv a \bmod p^\alpha$ is the same as $a' \equiv a + cp^\alpha \bmod p^{\alpha+1}$, with c well-defined mod p, so we want to prove there is a unique choice of $c \bmod p$ making $(a + cp^\alpha)^{p-1} \equiv 1 \bmod p^{\alpha+1}$.

Using the binomial theorem,

$$(a + cp^\alpha)^{p-1} \equiv a^{p-1} + (p-1)a^{p-2}cp^\alpha \bmod p^{\alpha+1},$$

where higher-order terms vanish since $p^{r\alpha} \equiv 0 \bmod p^{\alpha+1}$ for $r \geq 2$. Since $a^{p-1} \equiv 1 \bmod p^\alpha$ we can write $a^{p-1} = 1 + p^\alpha M$ for some $M \in \mathbf{Z}$, so we want to find c that makes

$$(1 + p^\alpha M) + (p-1)a^{p-2}cp^\alpha \equiv 1 \bmod p^{\alpha+1},$$

which is equivalent to

$$M - a^{p-2}c \equiv 0 \bmod p,$$

and this has a unique solution for $c \bmod p$ since $a \bmod p$ is invertible.

---

[7]It can happen that $W/(n-1) = 3/4$: see $n = 9$ in Example 2.5. This is the only time $W/(n-1) = 3/4$.

Having shown that there are $p - 1$ Miller–Rabin nonwitnesses for p^α in $\{1, \ldots, p^\alpha - 1\}$, their proportion in this range is

$$(5.1) \qquad \frac{p-1}{p^\alpha - 1} = \frac{1}{1 + p + \cdots + p^{\alpha-1}}.$$

Since $\alpha \geq 2$, this ratio is at most $1/(1 + p)$, which in turn is at most $1/(1 + 3) = 1/4$. (The only way (5.1) equals $1/4$ is if $\alpha = 2$ and $p = 3$, $i.e.$, $n = 3^2 = 9$. For all other p^α the value of (5.1) is less than $1/4$, and for $p^\alpha = 9$ we saw explicitly in Example 2.5 that the ratio in (5.1) is $1/4$.).

From now on let n have at least two different prime factors. Write, as usual, $n - 1 = 2^e k$ with $e \geq 1$ and k odd.

Let i_0 be the largest integer in $\{0, 1, \ldots, e-1\}$ such that some integer a_0 satisfies $(a_0, n) = 1$ and $a_0^{2^{i_0}} \equiv -1 \bmod n$. By the proof of Case 2 of Theorem 4.1, $i_0 \geq 0$ and the set

$$G_n = \{1 \leq a \leq n - 1 : a^{2^{i_0} k} \equiv \pm 1 \bmod n\}$$

is a group under multiplication modulo n that contains every Miller–Rabin nonwitness for n and is a proper subgroup of all invertible numbers mod n.

The ratio $\varphi(n)/|G_n|$ is an integer, and $\varphi(n) < n - 1$ since n is not prime. We will show, when n is not a prime power, that $\varphi(n)/|G_n| \geq 4$, so

$$\frac{|\{\text{MR nonwitnesses for } n \text{ in } \{1, \ldots, n-1\}\}|}{n-1} < \frac{|G_n|}{\varphi(n)} \leq \frac{1}{4}.$$

First we show every $a \in G_n$ satisfies $a^{n-1} \equiv 1 \bmod n$. Since $i_0 \leq e - 1$, the product $2^{i_0+1} k$ divides $2^e k = n - 1$. Each a in G_n satisfies $a^{2^{i_0} k} \equiv \pm 1 \bmod n$, so squaring gives us $a^{2^{i_0+1} k} \equiv 1 \bmod n$. Thus $a^{n-1} \equiv 1 \bmod n$.

A Carmichael number has at least three different prime factors, so either n is not a Carmichael number or it has at least three different prime factors.

Case 1: n is not a Carmichael number.

Set

$$F_n = \{1 \leq a \leq n - 1 : a^{n-1} \equiv 1 \bmod n\}.$$

Then

$$(5.2) \qquad \{1 \leq a \leq n - 1 : (a, n) = 1\} \supset F_n \supset G_n$$

and all three sets are groups under multiplication mod n. We will show both containments in (5.2) are strict, so by group theory $\varphi(n)/|F_n| \geq 2$ and $|F_n|/|G_n| \geq 2$. Thus

$$\frac{\varphi(n)}{|G_n|} = \frac{\varphi(n)}{|F_n|} \frac{|F_n|}{|G_n|} \geq 2 \cdot 2 = 4.$$

If n is not a Carmichael number then some integer relatively prime to n is not in F_n, so the first containment in (5.2) is strict. To show the second containment is strict (that is, $F_n \neq G_n$), pick a prime factor p of n and write $n = p^\alpha n'$ where $\alpha \geq 1$ and p does not divide n', so $n' > 1$. The integer $a \in \{1, \ldots, n - 1\}$ constructed in Case 2 of the proof of Theorem 4.1 is not in G_n, and that proof also shows $a \in F_n$: from $a^{2^{i_0} k} \equiv -1 \bmod p^\alpha$ and $a^{2^{i_0} k} \equiv 1 \bmod n'$ we get $a^{2^{i_0+1} k} \equiv 1 \bmod n$ since that congruence is true modulo p^α and modulo n'. Therefore $a^{n-1} \equiv 1 \bmod n$, since $2^{i_0+1} k$ is a factor of $n - 1$.

Case 2: n has at least three different prime factors.

Write the prime decomposition of n as $p_1^{\alpha_1} \cdots p_r^{\alpha_r}$ for distinct primes p_ℓ, exponents $\alpha_\ell \geq 1$, and $r \geq 3$. Set

$$H_n = \{1 \leq a \leq n-1 : a^{2^{i_0}k} \equiv \pm 1 \bmod p_\ell^{\alpha_\ell} \text{ for } \ell = 1, \ldots, r\}.$$

Then

(5.3) $\{1 \leq a \leq n-1 : (a,n) = 1\} \supset H_n \supset G_n$

We will show $|H_n|/|G_n| \geq 4$, so

$$\frac{\varphi(n)}{|G_n|} = \frac{\varphi(n)}{|H_n|}\frac{|H_n|}{|G_n|} \geq \frac{|H_n|}{|G_n|} \geq 4.$$

For integers x and y,

$$x \equiv y \bmod n \iff x \equiv y \bmod p_\ell^{\alpha_\ell} \text{ for } \ell = 1, \ldots, r.$$

The mapping between groups $f \colon H_n \to \prod_{\ell=1}^{r}\{\pm 1 \bmod p_\ell^{\alpha_\ell}\}$ that is defined by

$$f(a \bmod n) = (\ldots, a^{2^{i_0}k} \bmod p_\ell^{\alpha_\ell}, \ldots)_{\ell=1}^{r}$$

is a homomorphism. Set $K_n = \ker f$, so $H_n \supset G_n \supset K_n$. The target group for f has order 2^r. Let's prove f is surjective. It suffices, since f is a homomorphism, to show each r-tuple $(\ldots, 1, -1, 1, \ldots)$ with -1 in one component and 1 in all the other components is in the image of f. By symmetry it's enough to show $(-1, 1, 1, \ldots, 1)$ is in the image of f. That is, we seek an $a \in H_n$ such that

$$a^{2^{i_0}k} \equiv \begin{cases} -1 \bmod p_1^{\alpha_1}, \\ 1 \bmod p_\ell^{\alpha_\ell}, \text{ if } \ell \geq 2. \end{cases}$$

By the definition of i_0, there is an integer a_0 such that $a_0^{2^{i_0}} \equiv -1 \bmod n$. From the Chinese remainder theorem there is an $a \in \{1, \ldots, n-1\}$ such that

$$a \equiv a_0 \bmod p_1^{\alpha_1}, \quad a \equiv 1 \bmod p_\ell^{\alpha_\ell} \text{ for } \ell \geq 2.$$

Then

$$a^{2^{i_0}k} \equiv a_0^{2^{i_0}k} \equiv (-1)^k \equiv -1 \bmod p_1^{\alpha_1}$$

and

$$a^{2^{i_0}k} \equiv 1 \bmod p_\ell^{\alpha_\ell} \text{ for } \ell \geq 2.$$

Then $f(a \bmod n) = (-1, 1, \ldots, 1)$.

The image $f(H_n)$ has order 2^r. The image $f(G_n)$ is $\{(1, 1, \ldots, 1), (-1, -1, \ldots, -1)\}$, of order 2. Therefore $|H_n|/|K_n| = 2^r$ and $|G_n|/|K_n| = 2$, so $|H_n|/|G_n| = 2^{r-1}$, which is at least 4 since $r \geq 3$.

Our proof of Theorem 2.9 is now complete.

Corollary 5.1. *For odd composite $n > 1$, the Miller–Rabin nonwitnesses for n lie in a proper subgroup of the invertible numbers modulo n.*

Proof. If $n = p^\alpha$ with $\alpha \geq 2$ then the Miller–Rabin nonwitnesses for n are a group of order $p - 1$, while $\varphi(p^\alpha) = p^{\alpha-1}(p-1) > p - 1$.

If n has $r \geq 2$ different prime factors then the Miller–Rabin nonwitnesses for n lie in G_n. We showed G_n is a proper subgroup of F_n if n is not a Carmichael number, and it's a proper subgroup of H_n if $r \geq 3$. $\qquad\square$

Gashkov [8] gave another proof of Theorem 2.9. His strategy is to work more directly with the set S of Miller–Rabin nonwitnesses and find three Miller–Rabin *witnesses* for n, say a, b, and c, that are all invertible numbers mod n such that the sets S, aS, bS, and cS are pairwise disjoint. Verifying the pairwise disjointness is slightly tedious because S is not a group. In any case, all four sets lie in the invertible numbers mod n and have the same size, so pairwise disjointness implies $4|S| \leq \varphi(n) < n - 1$, and thus $|S|/(n-1) < 1/4$. Gashkov's argument does not work when n is a certain type of multiple of 3, so he assumes in his proof that n is not divisible by 3.

Remark 5.2. In the Miller–Rabin test it is important to look at $a^{2^i k} \bmod n$ for all i from 0 up to $e - 1$. If i runs over only a limited range near $e - 1$ then there are infinitely many analogues of Carmichael numbers for this weaker test, which means composite n whose witnesses for this weaker test all have a factor in common with n. See [4].

6. Euler witnesses are Miller–Rabin witnesses

In the next theorem we prove that every Euler witness for n test is a Miller–Rabin witness for n based on the proof in [6, pp. 118-119]. This result along with the 75% lower bound on the proportion of Miller–Rabin witnesses in Theorem 2.9 compared to the 50% lower bound for Euler witnesses explains why the Miller–Rabin test is used in practice, not the Solovay–Strassen test. It also helps that the Miller–Rabin test requires less background to follow its steps (no Jacobi symbols as in the Solovay–Strassen test).

Theorem 6.1. *For odd $n > 1$, an Euler witness for n is a Miller–Rabin witness for n.*

Proof. Since nonwitnesses are mathematically nicer than witnesses, we will prove the contrapositive: if an integer $a \in \{1, \ldots, n - 1\}$ is not a Miller–Rabin witness for n then it is not an Euler witness for n. That is, the property

$$a^k \equiv 1 \bmod n \text{ or } a^{2^i k} \equiv -1 \bmod n \text{ for some } i \in \{0, \ldots, e - 1\}$$

implies the property

$$(a, n) = 1 \text{ and } a^{(n-1)/2} \equiv \left(\frac{a}{n}\right) \bmod n.$$

Clearly not being a Miller–Rabin witness implies $(a, n) = 1$. That it also forces the power $a^{(n-1)/2} = a^{2^{e-1}k}$ to be congruent to $\left(\frac{a}{n}\right) \bmod n$ is a more delicate matter to explain.

Since $(n-1)/2 = 2^{e-1}k$ is a multiple of $2^i k$, we have $a^{(n-1)/2} \equiv \pm 1 \bmod n$. Why is the sign on the right side equal to $\left(\frac{a}{n}\right)$? This is the key issue.

<u>Case 1</u>: $e = 1$, or equivalently $n \equiv 3 \bmod 4$. Not being a Miller–Rabin witness in this case is equivalent to $a^k \equiv \pm 1 \bmod n$, which is the same as $a^{(n-1)/2} \equiv \pm 1 \bmod n$. Let $\varepsilon \in \{1, -1\}$ be the number such that $a^{(n-1)/2} \equiv \varepsilon \bmod n$. The Jacobi symbols with denominator n for both sides are equal, so $\left(\frac{a}{n}\right)^{(n-1)/2} = \left(\frac{\varepsilon}{n}\right)$. Since $(n-1)/2$ is odd, $\left(\frac{a}{n}\right)^{(n-1)/2} = \left(\frac{a}{n}\right)$. Since $n \equiv 3 \bmod 4$, $\left(\frac{-1}{n}\right) = (-1)^{(n-1)/2} = -1$ and trivially $\left(\frac{1}{n}\right) = 1$, so $\left(\frac{\varepsilon}{n}\right) = \varepsilon$. Thus $\left(\frac{a}{n}\right) = \varepsilon$, so $a^{(n-1)/2} \equiv \left(\frac{a}{n}\right) \bmod n$ and $(a, n) = 1$. That means a is not an Euler witness for n.

<u>Case 2</u>: $e \geq 2$, or equivalently $n \equiv 1 \bmod 4$. This makes $(n-1)/2 = 2^{e-1}k = 2 \cdot 2^{e-2}k$ an even multiple of $2^i k$ for every $i \in \{0, \ldots, e - 2\}$.

If $a^k \equiv 1 \bmod n$ or $a^{2^i k} \equiv -1 \bmod n$ for some $i \leq e - 2$ then $a^{(n-1)/2} = a^{2^{e-1}k} \equiv 1 \bmod n$ since $(n-1)/2$ is even. If $a^{2^{e-1}k} \equiv -1 \bmod n$ then $a^{(n-1)/2} \equiv -1 \bmod n$. So we want to show when a is not a Miller–Rabin witness that

$$a^k \equiv 1 \bmod n \text{ or } a^{2^i k} \equiv -1 \bmod n \text{ for some } i \in \{0, \ldots, e - 2\} \Longrightarrow \left(\frac{a}{n}\right) = 1$$

and

(6.1) $$a^{(n-1)/2} \equiv -1 \bmod n \implies \left(\frac{a}{n}\right) = -1.$$

If $a^k \equiv 1 \bmod n$ then forming the Jacobi symbol of both sides gives $\left(\frac{a}{n}\right)^k = \left(\frac{1}{n}\right) = 1$, so $\left(\frac{a}{n}\right) = 1$ since k is odd (this is the same argument used in Case 1). The remaining possibility is that $a^{2^i k} \equiv -1 \bmod n$ for some $i \in \{0, \ldots, e-2\}$ or $i = e-1$. Then

$$a^{(n-1)/2} = a^{2^{e-1}k} \equiv \begin{cases} -1 \bmod n, & \text{if } i = e-1, \\ 1 \bmod n, & \text{if } 0 \le i \le e-2. \end{cases}$$

In correspondence with this formula, we will show when $a^{2^i k} \equiv -1 \bmod n$ that

(6.2) $$\left(\frac{a}{n}\right) = \begin{cases} -1 \bmod n, & \text{if } i = e-1, \\ 1 \bmod n, & \text{if } 0 \le i \le e-2 \end{cases}$$

and thus $a^{(n-1)/2} \equiv \left(\frac{a}{n}\right) \bmod n$.

The Jacobi symbol $\left(\frac{a}{n}\right)$ is, by definition, the product of the Legendre symbols $\left(\frac{a}{p}\right)$ as p runs over the primes dividing n, with each $\left(\frac{a}{p}\right)$ appearing as often as the multiplicity of p in n. We will compute $\left(\frac{a}{p}\right)$ for such p, and its value will depend on how highly divisible each $p-1$ is by 2: see (6.4).

For each prime p dividing n, write $p - 1 = 2^{v_p} k_p$ where $v_p \ge 1$ and k_p is odd. Since $a^{2^i k} \equiv -1 \bmod n$ implies $(a^k)^{2^i} \equiv -1 \bmod p$, the order of $a^k \bmod p$ is 2^{i+1}. Therefore $2^{i+1} \mid (p-1)$, so $i < v_p$ and

(6.3) $$p \equiv 1 \bmod 2^{i+1}$$

for each prime p dividing n. Remember that $0 \le i \le e-1$ and $a^{2^i k} \equiv -1 \bmod n$.

Since $(p-1)/2 = 2^{v_p - 1} k_p$, by Euler's congruence $\left(\frac{a}{p}\right) \equiv a^{2^{v_p - 1} k_p} \bmod p$. Raising both sides to the k-th power (an odd power), we get $\left(\frac{a}{p}\right) \equiv a^{(2^i k)(2^{v_p - 1 - i} k_p)} \equiv (-1)^{2^{v_p - 1 - i}} \bmod p$. If $i = v_p - 1$ then $2^{v_p - 1 - i} = 1$, while if $i < v_p - 1$ then $2^{v_p - 1 - i}$ is even. Thus

(6.4) $$\left(\frac{a}{p}\right) = \begin{cases} -1, & \text{if } i = v_p - 1 \ (\text{equiv., } v_p = i + 1), \\ 1, & \text{if } i < v_p - 1 \ (\text{equiv., } v_p > i + 1). \end{cases}$$

The congruence (6.3) can be written as $p \equiv 1 + c_p 2^{i+1} \bmod 2^{i+2}$ where $c_p = 0$ or 1, with $c_p = 0$ when $p \equiv 1 \bmod 2^{i+2}$ $(v_p > i + 1)$ and $c_p = 1$ when $p \not\equiv 1 \bmod 2^{i+2}$ $(v_p = i + 1)$. Then (6.4) says $\left(\frac{a}{p}\right) = (-1)^{c_p}$ for all primes p dividing n. Writing n as a product of primes $p_1 \cdots p_s$, where these primes are not necessarily distinct,[8]

$$\left(\frac{a}{n}\right) = \prod_{j=1}^{s} \left(\frac{a}{p_j}\right) = \prod_{j=1}^{s} (-1)^{c_{p_j}} = (-1)^{\sum c_{p_j}}.$$

Also

$$n = \prod_{j=1}^{s} p_j \equiv \prod_{j=1}^{s} (1 + c_{p_j} 2^{i+1}) \bmod 2^{i+2} \equiv 1 + \left(\sum_{j=1}^{s} c_{p_j}\right) 2^{i+1} \bmod 2^{i+2}.$$

[8]This differs from the notation $p_1, \ldots$ for prime factors of n in Section 5, where the primes were distinct.

Let $c = \sum_{j=1}^{s} c_{p_j} = |\{j : v_{p_j} = i+1\}|$, so $(\frac{a}{n}) = (-1)^c$ and

$$(6.5) \qquad\qquad n \equiv 1 + c2^{i+1} \bmod 2^{i+2}.$$

Recall $n - 1 = 2^e k$ with k odd, so (6.5) says $1 + 2^e k \equiv 1 + c2^{i+1} \bmod 2^{i+2}$. Also recall $0 \le i \le e - 1$. If $i = e - 1$ then $1 + 2^e k \equiv 1 + c2^e \bmod 2^{e+1}$, so $k \equiv c \bmod 2$. Thus c is odd and $(\frac{a}{n}) = (-1)^c = -1$. If $i < e - 1$ then $i + 2 \le e$, so $2^e \equiv 0 \bmod 2^{i+2}$. Thus $1 \equiv 1 + c2^{i+1} \bmod 2^{i+2}$, which implies c is even, so $(\frac{a}{n}) = (-1)^c = 1$. We proved (6.2). $\square$

Corollary 6.2. *If $n \equiv 3 \bmod 4$, Euler witnesses and Miller–Rabin witnesses for n coincide.*

Proof. Theorem 6.1 shows for odd $n > 1$ that Euler witnesses for n are Miller-Rabin witnesses for n. To prove the converse when $n \equiv 3 \bmod 4$, a Miller-Rabin witness a for such n satisfies $a^{(n-1)/2} \not\equiv \pm 1 \bmod n$ by Example 2.4, so either (i) $(a, n) > 1$ or (ii) $(a, n) = 1$ and $a^{(n-1)/2} \not\equiv (\frac{a}{n}) \bmod n$, which makes a an Euler witness for n. $\square$

The converse of Corollary 6.2 is not true. For example, Euler witnesses and Miller–Rabin witnesses for 21 are the same (every integer from 2 to 19) but $21 \equiv 1 \bmod 4$.

Corollary 6.3. *An odd $n \equiv 1 \bmod 4$ and $a \in \{1, \ldots, n-1\}$ can satisfy $a^{(n-1)/2} \equiv 1 \bmod n$ and $(\frac{a}{n}) = -1$ but never $a^{(n-1)/2} \equiv -1 \bmod n$ and $(\frac{a}{n}) = 1$.*

Proof. With a computer it is easy to generate examples where $a^{(n-1)/2} \equiv 1 \bmod n$ and $(\frac{a}{n}) = -1$, such as the pairs $(a, n) = (8, 21), (10, 33), (22, 105)$, and so on.

The reason it is impossible to have $a^{(n-1)/2} \equiv -1 \bmod n$ and $(\frac{a}{n}) = 1$ is that such an a would be an Euler witness for n (with $i = e - 1 \ge 1$) but not a Miller–Rabin witness for n since a Miller–Rabin sequence with more than one term can't end with $-1 \bmod n$.[9] More directly, look at (6.1). $\square$

Combining these two corollaries, $a^{(n-1)/2} \equiv -1 \bmod n \implies (\frac{a}{n}) = -1$ for all odd $n > 1$, while $a^{(n-1)/2} \equiv 1 \bmod n \implies (\frac{a}{n}) = 1$ if $n \equiv 3 \bmod 4$ but not generally if $n \equiv 1 \bmod 4$.

7. The original version of the Miller–Rabin test

The Miller–Rabin test was introduced by Miller [9], but not in the form we used. For each a, the steps in Miller's original test were essentially checking if $a^{n-1} \not\equiv 1 \bmod n$ or if $1 < (a^{2^i k} - 1, n) < n$ for some $i \in \{0, \ldots, e - 1\}$. Let's say such an a is a "Miller witness" for n. If there is a Miller witness for n then n is composite. Miller showed the Generalized Riemann Hypothesis (GRH) implies each odd composite n has a Miller witness up to some multiple of $(\log n)^2$, so his test is deterministic assuming GRH. A few years later Monier [10] and Rabin [11] each proved for odd composite n that at least 75% of $a \in \{1, \ldots, n-1\}$ are Miller witnesses for n, which makes Miller's test probabilistic without using GRH.

At the end of [11] Rabin described a second version of Miller's test in terms of confirming or falsifying the congruences in (2.1), attributing this observation to Knuth, and he showed each Miller witness for n is also a Miller–Rabin witness for n in the sense that we defined this term earlier, but Rabin did not indicate if the converse relation is true. Monier [10] confirmed that it is: for each $a \in \{1, \ldots, n-1\}$, the conditions

$$(7.1) \qquad a^{n-1} \not\equiv 1 \bmod n \text{ or } 1 < (a^{2^i k} - 1, n) < n \text{ for some } i \in \{0, \ldots, e - 1\}$$

---

[9]If $a^{(n-1)/2} \equiv 1 \bmod n$ and $(\frac{a}{n}) = -1$, a is an Euler witness for n and thus is a Miller–Rabin witness for n. There is no contradiction because a Miller–Rabin sequence can have 1 as its last term.

and

$$(7.2) \qquad a^k \not\equiv 1 \bmod n \text{ and } a^{2^i k} \not\equiv -1 \bmod n \text{ for all } i \in \{0, \ldots, e-1\}$$

are equivalent. Monier used the gcd sequence $(d_0, d_1, \ldots, d_e)$ where $d_i = (a^{2^i k} - 1, n)$ to prove the negations of (7.1) and (7.2) are equivalent. Saying (7.1) is false makes the gcd sequence have either the form $(n, \ldots, n)$ with all terms equal to n or the form $(1, \ldots, 1, n, \ldots, n)$ where a sequence of 1's is followed by a sequence of n's (and the last term is n). The first case is equivalent to $d_0 = n$, which says $a^k \equiv 1 \bmod n$, while the second case is equivalent to there being an $i \in \{0, \ldots, e-1\}$ such that $d_i = 1$ and $d_{i+1} = n$, which turns out to be the same as $a^{2^i k} \equiv -1 \bmod n$ (that n is odd is crucial here), and one of those being true is the negation of (7.2).

The Miller–Rabin test had been discovered by Selfridge a couple of years before Miller's paper, but he did not publish anything on it. About 10 years before the work of Miller and Rabin, Artjuhov [1], [2] wrote two papers about primality tests based on congruence conditions. In the Western literature his work is often cited as a version of the Miller–Rabin test that appeared before the work of Miller and Rabin (and Selfridge), but this is incorrect. Artjuhov had instead essentially discovered the Solovay–Strassen test: he proved [1, Theorem E, p. 362] that odd composite $n > 1$ not equal to a square have Euler witnesses.[10] While [2] includes the representation of $n - 1$ as $2^e k$ and Artjuhov writes in [2] about the congruence $a^k \equiv 1 \bmod n$, he does not consider anything like the additional congruence conditions $a^{2^i k} \equiv -1 \bmod n$.

Appendix A. A probabilistic factorization algorithm

When the Miller–Rabin test applied to an odd number reports it is composite, then that is correct, while if it reports the number is prime then there is a low probability of error. In the composite case the test does not reveal a factor, so the Miller–Rabin test is not a factorization algorithm. Using ideas behind the Miller–Rabin test with a slight twist, we will be led to a probabilistic algorithm for finding a nontrivial factor of composite odd numbers.

We saw in Corollary 4.2 that when $n > 1$ is odd and not a prime power, the idea behind the Miller–Rabin test works with every $e \geq 1$ and odd positive k, not just those coming from a factorization of $n-1$ as $2^e k$: over 50% of $a \in \{2, \ldots, n-2\}$ satisfy $a^k \not\equiv 1 \bmod n$ and $a^{2^i k} \not\equiv -1 \bmod n$ for all $i \in \{0, \ldots, e-1\}$. Let's use e and k coming from a factorization of $\varphi(n)$ (instead of $n-1$) as $2^e k$.

Theorem A.1. *For odd $n > 1$ that is not a prime power, let $\varphi(n) = 2^e k$ where $e \geq 1$ and k is odd. For at least 50% of $a \in \{2, \ldots, n-2\}$ that are relatively prime to n, the least $j \in \{0, \ldots, e\}$ such that $a^{2^j k} \equiv 1 \bmod n$ is positive and $a^{2^{j-1} k} \not\equiv -1 \bmod n$.*

The table below illustrates the theorem with $n = 15$, so $\varphi(n) = 8 = 2^3 \cdot 1$. Of the six a relatively prime to 15 in $\{2, \ldots, 13\}$, all fit the conclusion.

a	2	4	7	8	11	13
j	2	1	2	2	1	2
$a^{2^{j-1} k} \bmod 15$	4	4	4	4	11	4

---

[10]Artjuhov's proof is identical to the proof Solovay and Strassen rediscovered in [13]; Solovay and Strassen extended the test to square n in [14].

Proof. By Euler's theorem, each $a \in \{2, \ldots, n-2\}$ that is relatively prime to n satisfies $a^{2^e k} \equiv 1 \bmod n$. Thus $a^k \bmod n$ has order dividing 2^e: its order is 2^j for some $j \in \{0, \ldots, e\}$, which means j is minimal such that $a^{2^j k} \equiv 1 \bmod n$. We have $j = 0$ if and only if $a^k \equiv 1 \bmod n$, and for $j \geq 1$ the only $i \in \{0, \ldots, e-1\}$ for which we could have $a^{2^i k} \equiv -1 \bmod n$ is $i = j - 1$.

By the proof of Corollary 4.2 (which was left to the reader), the set of $a \in \{1, \ldots, n-1\}$ such that $a^k \equiv 1 \bmod n$ or $a^{2^i k} \equiv -1 \bmod n$ for some $i \in \{0, \ldots, e-1\}$ is contained in a proper subgroup of the invertible numbers mod n and thus such a form *at most* half of all a in $\{1, \ldots, n-1\}$ that are relatively prime to n. This includes $a = 1$ and $a = n - 1$. So for *at least* half of a in $\{2, \ldots, n-2\}$ that are relatively prime to n we have $a^k \not\equiv 1 \bmod n$ and $a^{2^i k} \not\equiv -1 \bmod n$ for all $i \in \{0, \ldots, e-1\}$. Those two conditions are equivalent to saying $j \geq 1$ and $a^{2^{j-1} k} \not\equiv -1 \bmod n$, where j is the order of $a^k \bmod n$. $\square$

Corollary A.2. *For odd $n > 1$ that is not a prime power, let $\varphi(n) = 2^e k$ where $e \geq 1$ and k is odd. For at least 50% of $a \in \{2, \ldots, n-2\}$ either $1 < (a, n) < n$ or there is $j \in \{1, \ldots, e\}$ such that $1 < (a^{2^{j-1} k} - 1, n) < n$.*

Proof. By Theorem A.1, for at least 50% of a in $\{2, \ldots, n-2\}$ such that $(a, n) = 1$, $a^k \bmod n$ has order 2^j where $j \geq 1$ and $a^{2^{j-1} k} \not\equiv -1 \bmod n$. Since $a^{2^e k} = a^{\varphi(n)} \equiv 1 \bmod n$, $j \leq e$. Then $(a^{2^{j-1} k} - 1)(a^{2^{j-1} k} + 1) \equiv 0 \bmod n$ and $a^{2^{j-1} k} - 1$ is not a multiple of n by minimality of j, and is not relatively prime to n since $a^{2^{j-1} k} \not\equiv -1 \bmod n$. Thus $1 < (a^{2^{j-1} k} - 1, n) < n$, so $(a^{2^{j-1} k} - 1, n)$ is a nontrivial factor of n. For all a in $\{2, \ldots, n-2\}$ that are not relatively prime to n, (a, n) is a nontrivial factor of n. So either (a, n) or $(a^{2^{j-1} k} - 1, n)$ for some $j \in \{1, \ldots, e\}$ is a nontrivial factor of n for over 50% of a if we know $\varphi(n)$. $\square$

Example A.3. Let $n = 12127237$. It turns out that $\varphi(n) = 12119436 = 2^2 \cdot 3029859 = 2^e k$. A random integer in $\{2, \ldots, n-2\}$ offered by a computer is $a = 7169940$. Since $a^k \equiv -1 \bmod n$, this is not helpful. Another random integer in that range is $a = 4689982$, for which $a^k \equiv 2614459 \bmod n$ and $a^{2k} \equiv 1 \bmod n$, so a nontrivial factor of n is $(a^k - 1, n) = (2614458, n) = 5659$: $n = 5659 \cdot 2143$.

The hypotheses of Corollary A.2 are mild. Indeed, it is trivial to determine whether a specified integer $n > 1$ is odd and it is computationally easy to determine if n is a perfect power: if $n = b^c$ where $b \geq 2$ and $c \geq 2$ then from $n \geq 2^c$ we get $2 \leq c \leq \log_2 n$. For each c in that range (much shorter than the size of n itself) check whether $\sqrt[c]{n}$ is in **Z**. Therefore Corollary A.2 tells us that, from the viewpoint of probabilistic algorithms, being able to compute $\varphi(n)$ is at least as hard a problem as finding a nontrivial factor of n.

The reasoning in the proofs of Theorem A.1 and Corollary A.2 would continue to work if $\varphi(n)$ is replaced by a multiple of $\varphi(n)$: all we used about e and k in the proof was that $a^{2^e k} \equiv 1 \bmod n$ for all a relatively prime to n, and that holds when $2^e k$ is a multiple of $\varphi(n)$. Moreover, since $d \mid n \Rightarrow \varphi(d) \mid \varphi(n)$, if we know a multiple of $\varphi(n)$ then that same number is a multiple of $\varphi(d)$ for every factor d of n. Therefore knowing a multiple of $\varphi(n)$, when $n > 1$ is odd and not a prime power, lets us apply Corollary A.2 repeatedly as a probabilistic algorithm to the factors of n that we find in order to fully factor n into primes (use the Miller–Rabin test as a way of deciding if a factor is or is not prime).

How long should we expect this factorization algorithm to take? We won't do a careful complexity analysis, but only indicate why things should run quickly as a function of the starting number n. Since over 50% of integers in $\{2, \ldots, n-2\}$ lead to a nontrivial factor

of n in the setting of Corollary A.2, we expect on average to need only 2 applications of the corollary to find one new nontrivial factor (after first checking if n is even or a perfect power). Repeating this until we reach a prime factorization should not take long since the number of prime factors of n is small compared with n itself: if $n = p_1 \ldots p_r$ is a product of r primes, some possibly being repeated, then $n \geq 2^r$ so $r \leq \log_2 n$.

We have shown that a procedure that tells us $\varphi(n)$ (or a multiple of it) for general n leads to an efficient probabilistic algorithm for prime factorization. Conversely, knowing the prime factorization of n lets us easily compute $\varphi(n)$, so computing prime factorizations and computing the Euler φ-function are essentially the same level of difficulty if we allow the use of probabilistic algorithms: each task is reducible to the other in polynomial time.

This approach to factoring n seems to depend on knowing $\varphi(n)$ or a multiple of $\varphi(n)$. We can modify the procedure in the following way to avoid using $\varphi(n)$ or a multiple of it in the calculations (only using it in a proof).

Corollary A.4. *For odd $n > 1$ that is not a prime power, over 50% of $a \in \{2, \ldots, n-2\}$ satisfy one the following two conditions:*

(1) $(a, n) > 1$,
(2) $(a, n) = 1$, $a \bmod n$ has even order t, and $a^{t/2} \not\equiv -1 \bmod n$.

In the first case, (a, n) is a nontrivial factor of n. In the second case, $(a^{t/2} - 1, n)$ and $(a^{t/2} + 1, n)$ are complementary nontrivial factors of n.

Proof. Let $\varphi(n) = 2^e k$ where $e \geq 1$ and k is odd. By Theorem A.1, for at least 50% of all a in $\{2, \ldots, n-2\}$ that are relatively prime to n the order 2^j of $a^k \bmod n$ has $j \geq 1$ and $a^{2^{j-1} k} \not\equiv -1 \bmod n$. For such a, let $a \bmod n$ have order t. Then $t \mid 2^j k$ since $a^{2^j k} \equiv 1 \bmod n$ and $t \nmid 2^{j-1} k$ since $a^{2^{j-1} k} \not\equiv -1 \bmod n$. Thus the 2-power in t is 2^j, so t is even.

Writing $t = 2^j t'$ for odd t' we have $t' \mid k$. To prove $a^{t/2} \not\equiv -1 \bmod n$ we argue by contradiction. If $a^{t/2} \equiv -1 \bmod n$ then $a^{2^{j-1} t'} \equiv -1 \bmod n$. Raising both sides to the k/t'-power, $a^{2^{j-1} k} \equiv (-1)^{k/t'} \equiv -1 \bmod n$ since k/t' is odd. This contradicts $a^{2^{j-1} k} \not\equiv -1 \bmod n$. We have proved at least 50% of a in $\{2, \ldots, n-2\}$ that are relatively prime to n satisfy condition (2) in the corollary.

From $a^t \equiv 1 \bmod n$, $(a^{t/2} + 1)(a^{t/2} - 1) \equiv 0 \bmod n$. If $(a^{t/2} - 1, n) = 1$ then $a^{t/2} + 1 \equiv 0 \bmod n$, but we just saw $a^{t/2} \not\equiv -1 \bmod n$. If $(a^{t/2} - 1, n) = n$ then $a^{t/2} \equiv 1 \bmod n$, which contradicts t being the order of $a \bmod n$. Thus $(a^{t/2} - 1, n)$ lies strictly between 1 and n when a satisfies (2). It is left to the reader to show $(a^{t/2} + 1, n) = n/(a^{t/2} - 1, n)$.

Among the a in $\{2, \ldots, n-2\}$, those that are not relatively prime to n all satisfy (1) and at least half that are relatively prime to n satisfy (2), so over half satisfy (1) or (2). $\square$

Corollary A.4 suggests the following algorithm for finding a nontrivial factor of odd composite $n > 1$, preferably to be used only after applying iterations of the Miller–Rabin test to n until we find a witness and thus know n is composite.

Step 1. Check if n is a perfect power: $n \overset{?}{=} b^c$ where $b \geq 2$ and $c \geq 2$. (Necessarily $n \geq 2^c$ so $2 \leq c \leq \log_2 n$, and for each such c we can check if $\sqrt[c]{n}$ is an integer or not.) If this happens then b is a nontrivial factor of n and we stop. Otherwise n is not a perfect power and go to the next step.

Step 2. Pick random a in $\{2, \ldots, n-2\}$.

Step 3. Check (by Euclid's algorithm) if $(a, n) > 1$. If so then (a, n) is a nontrivial factor of n and we stop. Otherwise go to the next step.

Step 4. If $(a, n) = 1$ then check if $a \bmod n$ has even order. If the order is odd then return to Step 2.

Step 5. If the order t of $a \bmod n$ is even, check if $a^{t/2} \not\equiv -1 \bmod n$. If so then $(a^{t/2}-1, n)$ is a nontrivial factor of n and stop.

Step 6. If $a^{t/2} \equiv -1 \bmod n$ then return to Step 2.

By Corollary A.4, the probability that a in Step 2 leads to a nontrivial factor of n in Steps 3 or 5 is over 50%, so when n is composite we expect only a few iterations are needed for the algorithm to reveal a nontrivial factor of n. While the Miller–Rabin test itself does not appear in the implementation of Steps 1 through 6, its ideas were used above to justify the 50% lower bound for the algorithm to stop in each round at Steps 3 or 5.

Example A.5. Let $n = 68{,}421{,}093{,}311$. Since $2^{n-1} \equiv 15{,}891{,}188{,}482 \not\equiv 1 \bmod n$, the number n is definitely composite.

A computer's random number generator in the range $\{2, \ldots, n-2\}$ spits out first $a = 546{,}802{,}896$. We have $(a, n) = 1$ and the order of $a \bmod n$ is $t = 17{,}091{,}292{,}870$, which is even. Since $a^{t/2} \equiv 31{,}266{,}883{,}924 \not\equiv -1 \bmod n$, a nontrivial factor of n is $(a^{t/2} - 1, n) = (31{,}266{,}883{,}923, n) = 2243$. As a check, $n/2243 = 30{,}504{,}277$.

This appears to be a fantastic method of factoring (odd) numbers once we are sure they are composite. But there's a catch, and it's in Step 4: computing the order of $a \bmod n$ in general could take a very long time relative to the size of n on a classical computer. (The numbers in the example are small enough that a classical computer ran each of the steps on them in at most a few seconds.) In the 1990s Peter Shor discovered how to make the calculation of the order of $a \bmod n$ run quickly (polynomial time in $\log n$) on a quantum computer [12]. The six-step algorithm above is called Shor's algorithm.

Appendix B. Second proof of Theorem 2.9

Here we will prove in a second way Theorem 2.9, which says that for every odd composite number its proportion of Miller–Rabin witnesses is at least 75%. The proof is based on [6, pp. 120–121]. As in the first proof in Section 5, we will focus on the nonwitnesses and show

$$\frac{|\{\text{MR nonwitnesses for } n \text{ in } \{1, \ldots, n-1\}\}|}{n-1} \leq \frac{1}{4}.$$

<u>Case 1</u>: There is an odd prime p such that $p^2 \mid n$.

When a is a Miller–Rabin nonwitness for n, we have $a^{n-1} \equiv 1 \bmod n$ since $a^k \equiv 1 \bmod n$ or $a^{2^i k} \equiv -1 \bmod n$ for some $i \leq e-1$, and $n-1$ is an even multiple of k and of $2^i k$. We are going to show that when $p^2 \mid n$,

$$|\{1 \leq a \leq n-1 : a^{n-1} \equiv 1 \bmod n\}| \leq \frac{n-1}{4}$$

This will suffice for Case 1, since the Miller–Rabin nonwitnesses for n in Case 1 are contained in the set counted on the left side.

If $a^{n-1} \equiv 1 \bmod n$ then $p \nmid a$ since $p^2 \mid n$, so

(B.1) $\{1 \leq a \leq n-1 : a^{n-1} \equiv 1 \bmod n\} = \bigcup_{1 \leq b \leq p-1} \{1 \leq a \leq n-1 : a^{n-1} \equiv 1 \bmod n, a \equiv b \bmod p\}.$

For each b from 1 to $p-1$, suppose $a^{n-1} \equiv 1 \bmod n$ and $a \equiv b \bmod p$. We are going to show all such a are congruent mod p^2 by showing $a \equiv b^n \bmod p^2$.

If $a^{n-1} \equiv 1 \bmod n$, multiply by a to get $a^n \equiv a \bmod n$, so $a^n \equiv a \bmod p^2$ since $p^2 \mid n$. From $a \equiv b \bmod p$ we get $a^p \equiv b^p \bmod p^2$ (if $x \equiv y \bmod p^m$ for prime p and $m \geq 1$, then $x^p \equiv y^p \bmod p^{m+1}$). Raise both sides to the power n/p: $a^n \equiv b^n \bmod p^2$. So $a \equiv b^n \bmod p^2$.

For each b from 1 to $p-1$, we have shown

$$\{1 \leq a \leq n-1 : a^{n-1} \equiv 1 \bmod n, a \equiv b \bmod p\} \subset \{1 \leq a \leq n-1 : a \equiv b^n \bmod p^2\}.$$

The right side has size at most n/p^2, since $p^2 \mid n$, so by (B.1)

$$
\begin{aligned}
|\{1 \leq a \leq n-1 : a^{n-1} \equiv 1 \bmod n\}| &\leq \sum_{b=1}^{p-1} \frac{n}{p^2} \\
&= \frac{(p-1)n}{p^2} \\
&= \frac{(p^2-1)n}{p^2(p+1)} \\
&\leq \frac{p^2 n - n}{4p^2} \quad \text{since } p \geq 3 \\
&\leq \frac{p^2 n - p^2}{4p^2} \quad \text{since } n \geq p^2 \\
&= \frac{n-1}{4}.
\end{aligned}
$$

<u>Case 2</u>: n is squarefree, with $n = p_1 \cdots p_r$ for distinct odd primes p_j and $r \geq 2$.

The number of Miller–Rabin nonwitnesses for n is

$$\text{(B.2)} \qquad |\{1 \leq a \leq n-1 : a^k \equiv 1 \bmod n\}| + \sum_{i=0}^{e-1} |\{1 \leq a \leq n-1 : a^{2^i k} \equiv -1 \bmod n\}|.$$

We will show this is less than $(n-1)/4$.

From the prime factorization of n and the Chinese remainder theorem,

$$\text{(B.3)} \qquad |\{1 \leq a \leq n-1 : a^k \equiv 1 \bmod n\}| = \prod_{j=1}^{r} |\{1 \leq a \leq p_j - 1 : a^k \equiv 1 \bmod p_j\}|,$$

$$\text{(B.4)} \quad |\{1 \leq a \leq n-1 : a^{2^i k} \equiv -1 \bmod n\}| = \prod_{j=1}^{r} |\{1 \leq a \leq p_j - 1 : a^{2^i k} \equiv -1 \bmod p_j\}|.$$

When G is a finite group and $g \in G$, the equations $g^m = 1$ and $g^{(m,|G|)} = 1$ have the same solutions for any m in $\mathbf{Z}$. since $g^{|G|} = 1$. Thus we can rewrite the right side of (B.3):

$$\text{(B.5)} \qquad |\{1 \leq a \leq n-1 : a^k \equiv 1 \bmod n\}| = \prod_{j=1}^{r} |\{1 \leq a \leq p_j - 1 : a^{d_j} \equiv 1 \bmod p_j\}|,$$

where $\boxed{d_j = (k, p_j - 1)}$ for $j = 1, \ldots, r$.

<u>Step 1</u>: In (B.5), $|\{1 \leq a \leq p_j - 1 : a^{d_j} \equiv 1 \bmod p_j\}| = d_j$, so the right side of (B.5) is $d_1 \cdots d_r$.

For a finite cyclic group G and $d \in \mathbf{Z}^+$, $|\{g \in G : g^d = 1\}| = d$ if $d \mid |G|$. Applying this to G being the units mod p_j, $d = d_j$, and $|G| = p_j - 1$ completes the explanation of Step 1. To count solutions to the congruences on the right in (B.4), we will use the next claim.

Claim 1: For an odd prime p and odd integer k,

$$x^k \equiv -1 \bmod p \iff x^{(k,p-1)} \equiv -1 \bmod p.$$

($\Rightarrow$) If $x^k \equiv -1 \bmod p$ then $x^{2k} \equiv 1 \bmod p$, so $x^2 \bmod p$ has order dividing k. Also the order of $x^2 \bmod p$ divides $p - 1$, so it divides $(k, p - 1)$. Thus $x^{2(k,p-1)} \equiv 1 \bmod p$, so $x^{(k,p-1)} \equiv \pm 1 \bmod p$. If $x^{(k,p-1)} \equiv 1 \bmod p$, then $x^k \equiv 1 \bmod p$, which contradicts $x^k \equiv -1 \bmod p$. Thus $x^{(k,p-1)} \equiv -1 \bmod p$.
($\Leftarrow$) If $x^{(k,p-1)} \equiv -1 \bmod p$, then $x^k \equiv -1 \bmod p$ since $k/(k, p - 1)$ is odd.

By Claim 1 with $x = a^{2^i}$, we can rewrite the right side in (B.4):

(B.6) $$|\{1 \le a \le n - 1 : a^{2^i k} \equiv -1 \bmod n\}| = \prod_{j=1}^{r} |\{1 \le a \le p_j - 1 : a^{2^i d_j} \equiv -1 \bmod p_j\}|.$$

Step 2: $|\{1 \le a \le p_j - 1 : a^{2^i d_j} \equiv -1 \bmod p_j\}|$ is $2^i d_j$ if $2^{i+1} \mid (p_j - 1)$ and 0 otherwise.
To count solutions of $a^{2^i d_j} \equiv -1 \bmod p_j$, rewritten as $(a^{d_j})^{2^i} \equiv -1 \bmod p_j$, first count solutions to $x^{2^i} \equiv -1 \bmod p_j$ and then for each such x count solutions to $a^{d_j} \equiv x \bmod p_j$.

Claim 2: For each odd prime p and integer $s \ge 0$,

$$x^{2^s} \equiv -1 \bmod p_j \iff x \bmod p \text{ has order } 2^{s+1}.$$

($\Rightarrow$) If $x^{2^s} \equiv -1 \bmod p$ then $x^{2^{s+1}} \equiv 1 \bmod p$, so $x \bmod p$ has order dividing 2^{s+1}. If the order is not 2^{s+1} then it divides 2^s, so $x^{2^s} \equiv 1 \bmod p$, which contradicts $x^{2^s} \equiv -1 \bmod p$.
($\Leftarrow$) Since $(x^{2^s})^2 \equiv 1 \bmod p$ and $x^{2^s} \not\equiv 1 \bmod p$, we have $x^{2^s} \equiv -1 \bmod p$.
By Claim 2 with $s = i$,

$$a^{2^i d_j} \equiv -1 \bmod p_j \iff a^{d_j} \bmod p_j \text{ has order } 2^{i+1} \implies 2^{i+1} \mid (p_j - 1).$$

Thus *if* $2^{i+1} \nmid (p_j - 1)$, then the congruence $a^{2^i d_j} \equiv -1 \bmod p_j$ has no solutions.
If instead $2^{i+1} \mid (p_j - 1)$, for how many $a \bmod p_j$ is $a^{2^i d_j} \equiv -1 \bmod p_j$? The units mod p_j are cyclic. Let g be a generator, so each unit mod p_j is g^b where $0 \le b \le p_j - 2$. Thus $a^{2^i d_j} \equiv -1 \bmod p_j$ is the same as $g^{2^i d_j b} \equiv g^{(p_j-1)/2} \bmod p_j$, which is equivalent to $2^i d_j b \equiv (p_j - 1)/2 \bmod p_j - 1$. Rewrite that as

(B.7) $$2^i d_j b = \frac{p_j - 1}{2} + (p_j - 1)m = \frac{p_j - 1}{2}(1 + 2m)$$

where $m \in \mathbf{Z}$. Since $2^{i+1} \mid (p_j - 1)$ and d_j is an odd factor of $p_j - 1$, (B.7) is the same as

$$b = \frac{p_j - 1}{2^{i+1} d_j}(1 + 2m),$$

so b is a positive odd multiple of $(p_j - 1)/(2^{i+1} d_j)$ less than $p_j - 1$. The number of such b is the number of odd numbers in $[1, 2^{i+1} d_j)$, which is $2^i d_j$. That finishes the proof of Step 2.
Returning to (B.6), for each $i \in \{0, \ldots, e - 1\}$ we have

(B.8) $$|\{1 \le a \le n - 1 : a^{2^i k} \equiv -1 \bmod n\}| = \prod_{j=1}^{r}(2^i d_j) = 2^{ir}(d_1 \cdots d_r)$$

if $2^{i+1} \mid (p_j - 1)$ for all primes p_j dividing n, while

(B.9) $$|\{1 \le a \le n - 1 : a^{2^i k} \equiv -1 \bmod n\}| = 0$$

if $2^{i+1} \nmid (p_j - 1)$ for some p_j dividing n.

Let 2^f be the highest power of 2 dividing all the numbers $p_1 - 1, \ldots, p_r - 1$. Then (B.8) holds when $i + 1 \leq f$ and (B.9) holds when $i + 1 > f$. Since 2^f dividing each $p_j - 1$ implies $p_j \equiv 1 \bmod 2^f$ for all p_j, so $n = p_1 \cdots p_r \equiv 1 \bmod 2^f$, we have $f \leq e$ (recall $n - 1 = 2^e k$ with k odd). Each $i + 1$ is at most e since $i \leq e - 1$, so

$$|\{1 \leq a \leq n - 1 : a^{2^i k} \equiv -1 \bmod n\}| = \begin{cases} 2^{ir}(d_1 \cdots d_r), & \text{if } i \leq f - 1, \\ 0, & \text{if } i \geq f. \end{cases}$$

That completes the calculation of the right side of (B.6).

Now we can return to (B.2): the number of Miller–Rabin nonwitnesses for n in Case 2 is

$$\text{(B.10)} \qquad d_1 \cdots d_r + \sum_{i=0}^{f-1} 2^{ir}(d_1 \cdots d_r) = (d_1 \cdots d_r)\left(1 + \frac{2^{fr} - 1}{2^r - 1}\right).$$

For all p_j we have $2^f d_j \mid (p_j - 1)$, so $\varphi(n) = \varphi(p_1 \cdots p_r) = (p_1 - 1) \cdots (p_r - 1) \geq 2^{fr}(d_1 \cdots d_r)$. Thus

$$\text{(B.11)} \qquad d_1 \cdots d_r \leq \frac{\varphi(n)}{2^{fr}} < \frac{n - 1}{2^{fr}}$$

since n is composite (otherwise $\varphi(n) = n - 1$). Thus (B.10), the number of Miller–Rabin nonwitnesses for n, is less than

$$\frac{n - 1}{2^{fr}}\left(1 + \frac{2^{fr} - 1}{2^r - 1}\right).$$

It remains to show that

$$\text{(B.12)} \qquad \frac{1}{2^{fr}}\left(1 + \frac{2^{fr} - 1}{2^r - 1}\right) \leq \frac{1}{4}.$$

The left side of (B.12) is

$$\frac{1}{2^{fr}}\left(1 - \frac{1}{2^r - 1}\right) + \frac{1}{2^r - 1} \leq \frac{1}{2^r}\left(1 - \frac{1}{2^r - 1}\right) + \frac{1}{2^r - 1} = \frac{1}{2^r} + \frac{1}{2^r - 1}\left(1 - \frac{1}{2^r}\right).$$

At $r = 3$ the last expression is $1/8 + (1/7)(7/8) = 2/8 = 1/4$, and for $r \geq 4$ it is less than $1/2^r + 1/(2^r - 1) \leq 1/16 + 1/15 < 1/4$.

It remains to treat $r = 2$: $n = p_1 p_2$ is a product of two different odd primes. The left side of (B.12) when $r = 2$ is always above $1/3$ (and thus above $1/4$) no matter what positive integer f is, so (B.11) is too weak of an upper bound to use in (B.10) when $r = 2$.

<u>Claim 4</u>: If $n = p_1 p_2$ where p_1 and p_2 are different odd primes, then $d_1 d_2 < (n-1)/(4^f \cdot 2)$.

Using Claim 4 and (B.10), the number of Miller–Rabin nonwitnesses for n is less than

$$\frac{n - 1}{4^f \cdot 2}\left(1 + \frac{4^f - 1}{3}\right) = \frac{4^f + 2}{4^f \cdot 6}(n - 1) \leq \left(\frac{1}{6} + \frac{2}{4 \cdot 6}\right)(n - 1) = \frac{n - 1}{4},$$

so we'll be done once we prove Claim 4.

Let the highest powers of 2 dividing $p_1 - 1$ and $p_2 - 1$ be 2^{f_1} and 2^{f_2}, respectively, so $2^{f_1} d_1 \mid (p_1 - 1)$, $2^{f_2} d_2 \mid (p_2 - 1)$, and $f = \min(f_1, f_2)$.

Suppose $2^{f_1} d_1 \neq p_1 - 1$. The complementary factor to $2^{f_1} d_1$ in $p_1 - 1$ has to be an odd number that's at least 3, so $2^{f_1} d_1 \cdot 3 \leq p_1 - 1$ and thus

$$\varphi(n) = (p_1 - 1)(p_2 - 1) \geq (2^{f_1} d_1 \cdot 3)(2^{f_2} d_2) \geq 2^{2f} d_1 d_2 \cdot 3.$$

Then $d_1 d_2 \leq \varphi(n)/(4^f \cdot 3) < (n-1)/(4^f \cdot 2)$.

Similarly, if $2^{f_2} d_2 \neq p_2 - 1$, then by swapping the roles of p_1 and p_2 we again get $d_1 d_2 < (n-1)/(4^f \cdot 2)$. In both situations we have proved Claim 4.

What remains to check for Claim 4 is the case where $2^{f_1} d_1 = p_1 - 1$ and $2^{f_2} d_2 = p_2 - 1$. We'll show in this situation that $d_1 = d_2$. Since $n - 1 = 2^e k$ and $n = p_1 p_2$,

$$2^e k + 1 = n = (2^{f_1} d_1 + 1)(2^{f_2} d_2 + 1) = 2^{f_1 + f_2} d_1 d_2 + 2^{f_1} d_1 + 2^{f_2} d_2 + 1,$$

and subtracting 1 gives us

$$2^e k = 2^{f_1 + f_2} d_1 d_2 + 2^{f_1} d_1 + 2^{f_2} d_2.$$

Since d_1 and d_2 both divide k, this equation tells us $d_1 \mid d_2$ and $d_2 \mid d_1$, so $d_1 = d_2$.

Since $d_1 = d_2$ and $p_1 \neq p_2$, necessarily $f_1 \neq f_2$. Without loss of generality, let $f_1 < f_2$, so $f = f_1$ and $f_2 \geq f_1 + 1$. Then

$$\varphi(n) = (p_1 - 1)(p_2 - 1) = 2^{f_1 + f_2} d_1 d_2 \geq 2^{2f + 1} d_1 d_2,$$

so $d_1 d_2 \leq \varphi(n)/(4^f \cdot 2) < (n-1)/(4^f \cdot 2)$, which is the inequality in Claim 4.

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